

**Arizona Department of Forestry and Fire Management**  
**Grant Agreement No. APWIAP 21-809**  
**Arizona Post-Wildfire Infrastructure Assistance Program**

This grant agreement (“Agreement”) is entered into by and between the (“Grantee”) Arizona Department of Forestry and Fire Management (“State Forestry” or “State”) and (“Sub-grantee”), Arizona Association of Conservation Districts (DUNS #19-643-4810), pursuant to authorities granted under Arizona Revised Statute 37-1302.

**I. PURPOSE OF AGREEMENT**

State Forestry is the recipient of funds provided by the State of Arizona for the purpose of emergency repairs for infrastructure projects within the State of Arizona.

The objective of this funding is to provide funding to public and private landowners for emergency repairs to infrastructure that was damaged from fires or fire suppression activities that occurred on or after July 1, 2020. This agreement is a sub-award of these State funds.

**II. SCOPE OF WORK**

Compensation is contingent upon Sub-grantee fulfilling the Scope of Work and project commitments as identified in the Grant Application (Attachment A).

**III. PROGRAMATIC CHANGES**

Sub-grantee shall obtain prior approval for any changes to the scope of objectives of the approved project, key personnel, or transfer of substantive programmatic work to another party.

**IV. TERM OF AGREEMENT**

This Agreement shall be effective immediately upon signature by all parties and will terminate on **April 30, 2024** unless otherwise terminated or modified pursuant to the terms herein.

**V. COMPENSATION AND MATCHING INVESTMENT**

Support documentation outlining project costs is required. Matching contribution is optional and should also include support documentation.

Compensation under this agreement will allow for retroactive reimbursement as well as up to a 50% drawdown with a 50% reimbursement of the total award at completion, shall not exceed the total eligible costs of the project, and total compensation (State Forestry grant portion) **shall not exceed \$403,780.00**

Only costs for those project activities approved in (1) the initial award, or (2) approved modifications thereto, are allowable. All payments are contingent upon the availability of State funds. An initial 50% drawdown payment will be made within thirty days after a signed agreement. Reimbursement payments will be made to the Sub-grantee normally within ninety days after receipt of the reimbursement request and required documentation.

**VI. ELIGIBLE COSTS**

Retroactive reimbursement is allowed for all eligible items and activities dating back to July 1, 2020 as approved in the application (Attachment A). For non-retroactive items and activities, eligible costs must be incurred during the Term of the Agreement, conform with the General Provisions of this Grant Agreement (Attachment B) and all other provisions identified herein, and be submitted to State Forestry along with detailed supporting documentation. This is a reimbursable grant program. Support documentation must show dates and amounts of all expenses (See Attachment C).

**VII. ADMINISTRATIVE AND ACCOUNTING REQUIREMENTS**

It shall be the responsibility of the Sub-grantee to establish and document both accounting and administrative control procedures for their organization. Such procedures shall be followed to ensure grant funds are being tracked and spent in accordance with all applicable laws and within the terms of the grant agreement/award. Sub-grantee accepts full liability for resources administered through the grant.

**VIII. AUDIT REQUIREMENTS**

ARS 35-181.03. Sub-grantee must also comply with applicable ARS 35-181.03 provisions for financial and compliance audits.

In the event that an audit determines that unallowable costs have been charged to the grant and funds have been disbursed to the Sub-grantee, then the Sub-grantee accepts full liability and must pay back all costs incurred and deemed unallowable. The Sub-grantee shall, upon request of the State, participate with State personnel in performing interim and/or final inspections.

**IX. PROCUREMENT REQUIREMENTS**

The Sub-Grantee shall comply with all applicable provisions of State laws and regulations in regard to procurement of goods and services, and to contracts for repair or restoration of public facilities.

The Sub-grantee shall not enter into cost-plus-percentage-of-cost contracts for completion of disaster restoration or repair work. The Sub-grantee will not enter into contracts for which payment is contingent upon receipt of State funds. Sub-grantees are responsible for developing, documenting, and adhering to their own established procurement activities that include both administrative and accounting controls.

**X. REPORTING REQUIREMENTS**

Sub-grantee shall monitor the performance of the grant activities to ensure that performance goals are being achieved. Sub-grantee shall provide detailed grant/project accomplishments in quarterly reports to State Forestry no later than 30 days after the end of each calendar quarter, or as requested by State Forestry. Quarterly performance reports shall follow the format identified in Attachment D or as may be revised by State Forestry.

Financial/Reimbursement requests may be submitted quarterly or more often if necessary. Reimbursement requests shall follow the format as identified in Attachment D or as may be revised by State Forestry. Reimbursement requests submitted with documentation

(grant share or match) that is older than 6 months will not be accepted without prior approval.

Financial/Reimbursement requests may be held for processing until quarterly accomplishment/performance reports are current.

A final accomplishment report and all financial/reimbursement requests and required documentation shall be provided at completion of the grant project, but no later than 30 days after end of grant term. Final financial reimbursement may be held until all accomplishment reporting is complete and submitted to State Forestry.

All accomplishment and financial reports shall be submitted to the State Forestry contact as identified below in Section XII (NOTICES)

Sub-grantee shall immediately notify State Forestry of developments that have a significant impact on the activities supported under this grant. Also, notification shall be given in case of problems, delays or adverse conditions that materially impair the ability to meet the objectives of the agreement. This notification shall include a statement of the action taken or contemplated, and any assistance needed to resolve the situation.

Any change to the original grant application scope of work must have prior written State approval. Incurring costs without prior written approval may result in loss of funds reimbursed.

## **XI. COMPLIANCE AND PERMITS**

Sub-grantee agrees that it is responsible for acquiring prior to repairs all permits required by applicable federal, state, and local jurisdictions. Sub-grantee also agrees that is responsible for adherence to all applicable statutes, regulations, ordinances, and codes promulgated by applicable federal, state, and local jurisdictions, including but not limited to environmental regulations concerning the presence, existence, discharge, emission, or removal of any substances such as by-products, wastes, pollutants, and hazardous and toxic materials.

**XII. PRINCIPAL CONTACTS**

NOTE: Principal contact should be one contact person responsible for overseeing all elements of the grant project including but not limited to accounting, administrative and field portions of the project.

Each party certifies that the individuals listed below are authorized to act in their respective areas for matters related to this instrument.

**Principal Sub-grantee Contact:**

Deborrah Smith  
Arizona Association of Conservation Districts  
Executive Director  
7467 E. Broadway Blvd  
Tucson, AZ 85710  
830-719-5372  
smith.aacd@gmail.com

**Principal Arizona State Forestry Contact:**

Joshua Hudson  
Arizona Department of Forestry and Fire Management  
Forestry Grants Manager  
1110 W. Washington Suite 500  
Phoenix, AZ 85007  
602-761-0285  
jhudson@dffm.az.gov

**XIII. NOTICES**

Any and all reports, notices, requests or demands given or made upon the parties hereto, pursuant to or in connection with this Agreement, unless otherwise noted, shall be delivered in person or sent by United States Mail, postage prepaid, to the parties at their respective addresses as set forth immediately below:

<p><b><u>STATE FORESTRY</u></b></p> <p><b><u>John Richardson</u></b> Assistant State Forester for Forestry Programs Arizona Department of Forestry and Fire Management 1110 West Washington, Suite 500 Phoenix, AZ 85007 602-771-1420 jrichardson@dffm.az.gov</p>	<p><b><u>SUB-GRANTEE</u></b></p> <p><b><u>Deborrah Smith</u></b> Arizona Association of Conservation Districts Executive Director 7467 E. Broadway Blvd Tucson, AZ 85710 830-719-5372 smith.aacd@gmail.com</p>
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**XIV. AWARD CLOSEOUT**

Sub-grantee shall close out the grant within 30 days after expiration or notice of termination. If this award is closed out without audit, Arizona State Forestry reserves the right to disallow and recover an appropriate amount after fully considering any recommended disallowances resulting from an audit which may be conducted later.

**XV. AUTHORITY**

Sub-grantee shall have the legal authority to enter into this agreement, and shall have the institutional, managerial, and financial capability to ensure proper planning, management, accounting and completion of the project, which includes funds sufficient to pay the share of project costs, when applicable.


**XVI. ATTACHMENTS**

The following Attachments are part of this Agreement:

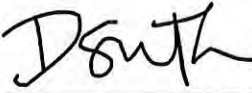
- A. Project Application
- B. General Provisions
- C. Documentation of Expenses
- D. Quarterly Report and Invoice Format

XVII. IN WITNESS WHEREOF, the parties agree to execute this agreement as of the last date written below.

<p><b><u>STATE FORESTRY</u></b></p> <p>Arizona Department of Forestry and Fire Management. 1110 West Washington, Suite 500 Phoenix, AZ 85007</p>	<p><b><u>ACCEPTED BY SUB-GRANTEE</u></b></p> <p>Arizona Association of Conservation Districts 7467 E. Broadway Blvd Tucson, AZ 85710</p>
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\_\_\_\_\_  
Signature  
David Tenney  
Arizona State Forester

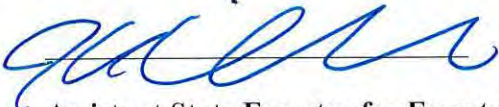
Date: 4/22/22

  
\_\_\_\_\_  
Signature  
Deborah Smith  
Print or Type Name

Date: 4/21/2022

John Richardson

\_\_\_\_\_  
Print

  
Assistant State Forester for Forestry Programs

Date: 4/22/2022

**ATTACHMENT A**

**Project Application  
(Cover Sheet)**

## Applications: Government Springs Fire Recovery Project

PLEASE READ: This program is NOT for flooding damage. This program is only available for infrastructure that was damaged from fires or fire suppression activities that occurred on or after July 1, 2020. For questions regarding post-wildfire flooding damage, please contact your local County Emergency Management office.

PLEASE READ: Private landowners are only eligible to receive financial assistance if they work through an eligible applicant: Eligible applicants include Governmental organizations (including state, county, and local), 501(c) non-profit organizations, and Indian Tribes.

### Profile

deborrah.smith@aacd1944.com

### 1. Application/Project Title

Government Springs Fire Recovery Project

### 2. Self Attestation - I certify that all other funding sources to address the infrastructure damaged as part of this project have been exhausted.

Yes

### 3. Program/Project Congressional District (check all that apply)

1

### 4. Program/Project Legislative District (check all that apply)

8

### 5. Project Lead

Deborrah Smith, Executive Director Arizona Association of Conservation Districts, Over 30 years business and conservation management

### 6. Project Overview and Area Description

The Government Springs Allotment is 45,631 acres of Forest Service Land , BLM, State Land and private land of which 38,329 acres was burned. Over 79,000 feet of fence were destroyed by the telegraph fire. All infrastructure needs repair, removal and/or replacement. The beneficiary of this application is John Hoopes.

### 7. Land Ownership

Both

### 8. Land Parcel Number

Forest Service land , BLM and State land does not have parcel numbers.

### 9. Scope of Work

Projects for Government Springs Allotment Fire Recovery Project:

Removing 32,755 feet (6.21 miles) destroyed fence that has become a wildlife/livestock hazard. Applicant completing work.

Replacing/Building 8.96 miles of fence. Applicant completing work.

Project will be administratively managed by Deborrah Smith, AACD, Technical Support for Planning and Specification Check by Chris Lowman, AACD and infrastructure implemented by owner John Hoopes and manager Mickey Byrne.

Map attached outlines all recovery projects and their locations

**10. Project Timeline**

Start date as soon as application approved.  
End date two years from time of application approval.

Year 1

- 1st QRT - 2.24 Miles of pasture fence built
- 2nd QRT - 2.24 Miles of pasture fence built
- 3rd QRT - 2.24 Miles of pasture fence built
- 4th QRT - 2.24 Miles of pasture fence built

Year 2

- 1st QRT - 1.55 Miles of pasture fence removed
- 2nd QRT - 1.55 Miles of pasture fence removed
- 3rd QRT - 1.55 Miles of pasture fence removed
- 4th QRT -1.56 Miles of pasture fence removed

**11. Collaborative Elements and Partners**

Arizona Association of Conservation Districts (AACD) acquired grant funding to implement planning and resource assessment of area. AACD will be responsible for administering funds and checking final practice implementation for meeting specifications

**Upload Budget**

Gov Springs ALL - 2021\_AZ Post-Wildfire Infrastructure \_Project Budget Form (1).pdf

**Upload Project Overview Map**

Improvement Rebuild Map (final).pdf

**Upload Self Attestation Form**

2021\_AZ Post-Wildfire Infrastructure\_Self Attestation Form\_combined.pdf

**Upload Support Documentation (optional)**

Photos for DFFM Submission.pdf

**Applications: File Attachments**

**Upload Budget**

Gov Springs ALL - 2021\_AZ Post-Wildfire Infrastructure \_Project Budget Form (1).pdf

**Upload Project Overview Map**

Improvement Rebuild Map (final).pdf

**Upload Self Attestation Form**

2021\_AZ Post-Wildfire Infrastructure\_Self Attestation Form\_combined.pdf

**Upload Support Documentation (optional)**

Photos for DFFM Submission.pdf

Project:

Total Project Budget (by expense type)				
Budget Detail	Grant Share (\$ Amount Requested)	Match		TOTAL
		Dollars	In-Kind	
Administrative Labor:	\$0	\$0	\$0	\$ 0
Project Labor:	\$0		\$0	\$ 0
Fringe Benefits:	\$0	\$0	\$0	\$ 0
Travel:	\$0	\$0	\$0	\$ 0
Equipment:	\$0	\$0	\$0	\$ 0
Supplies:	\$0	\$0	\$0	\$ 0
Contractual:	\$403,780	\$13,920	\$0	\$417,700
Other:	\$0	\$0		\$ 0
<b>TOTAL:</b>	<b>\$403,780</b>	<b>\$13,920</b>	<b>\$ 0</b>	<b>\$417,700</b>

Budget Narrative			
<p>Provide a brief explanation of each budget item. Include an explanation for items that will be reimbursed by grant funds and those that will be provided as project match.</p>			
GRANT DOLLARS			
REPLACE FENCE:			
Rough Terrain Fence - 2.28 Miles	\$48,000/mile * 2.28m		\$109,440
Easy Terrain Fence - 6.68 Miles	\$38,000/mile * 6.68m		\$253,840
TOTAL FENCE			\$363,280
LABOR burnt fence removal (fence has become wildlife/livestock hazard) 6.21 m			
90 Days @\$150/day with 3 individual labor support			\$40,500

**Budget Narrative - Continued**

Use this additional space to provide a brief explanation of each budget item. Include an explanation for items that will be reimbursed by grant funds and those that will be provided as project match.

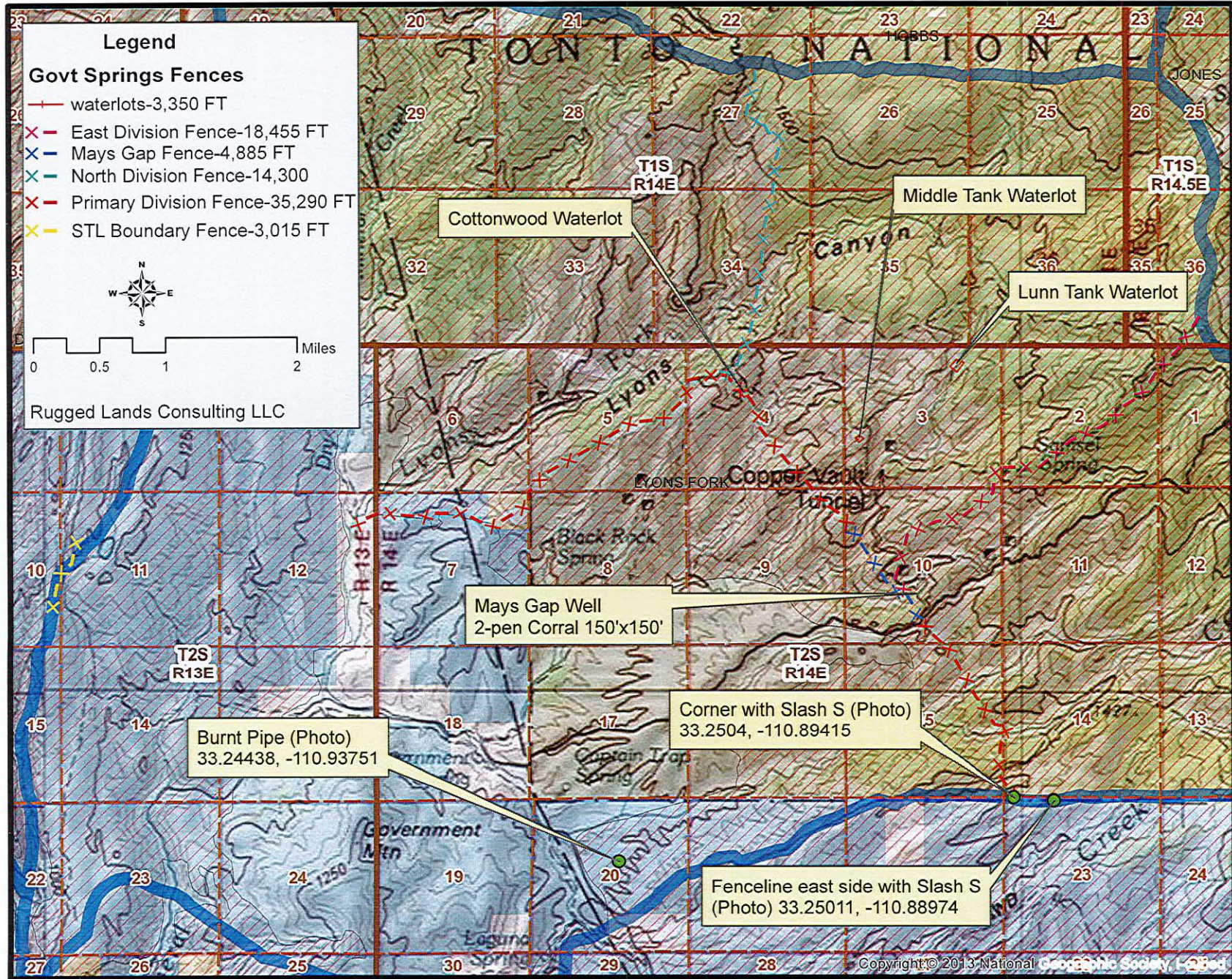
MATCH DOLLARS

Administration & Technical Support:

AACD Support Contract Rate \$600/day	\$600/day * 1/month * 12 months	\$7,200
AACD Tech Support Contract Rate \$60/hour	\$60/hr * 8 hrs/day * 14 days	\$6,720

TOTAL ADMIN & TECH SUPPORT		\$13,920
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# Govt Springs Planning Map



# Arizona Post-Wildfire Infrastructure Assistance Program Self-Attestation

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This section allows for beneficiaries to self-attest their eligibility for the Arizona Post-Wildfire Infrastructure Assistance Program. Verification is also provided by the grant applicant. Please select all applicable criteria below (select all that apply):

- "All sources of payment to address the infrastructure damaged as part of this project have been deducted."
- "All In-Kind donations provided to address the infrastructure damaged as part of this project have been deducted."
- "I am unable to finance the activity as stated above on my own and can provide information that I do not qualify for other reimbursement."

## SOURCES OF FINANCIAL ASSISTANCE (not all inclusive)

Insurance

Charitable Organizations

FEMA Hazard Mitigation Assistance Program Grants

USDA Forest Service

- Burned Area Emergency Response
- Burned Area Rehabilitation

USDA Farm Service Agency

- Livestock Indemnity Program
- Livestock Forage Disaster Program
- Emergency Conservation Program

USDA NRCS

- Environmental Quality Incentives Program
- Emergency Watershed Protection Program

Under a federal disaster declaration, the following could be sources of financial assistance:

- Individual Assistance
- Small Business Administration

## CERTIFICATION

Please confirm the following:

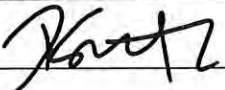
- I certify under penalty of perjury that the foregoing statement(s) are true and correct.
- I agree to provide, upon written request, any additional information, or documentation to the Department of Forestry Fire Management, or authorized entity representing DFFM.

Beneficiary Name (Print): \_\_\_\_\_

Beneficiary Signature: \_\_\_\_\_ Date: \_\_\_\_\_

## VERIFICATION

Applicant Name (Print): Deborah Smith

Applicant Signature:  Date: 2/24/2022

# Arizona Post-Wildfire Infrastructure Assistance Program Self-Attestation

This section allows for applicants to self-attest their eligibility for the Arizona Post-Wildfire Infrastructure Assistance Program. Please select all applicable criteria below (select all that apply):

- "All other funding sources to address the infrastructure damaged as part of this project have been exhausted, and DFFM is the payer of last resort."
- "All In-Kind donations provided to address the infrastructure damaged as part of this project have been exhausted and DFFM is the payer of last resort."
- "I am unable to finance the activity as stated above on my own and/or other sources of funds are not available and DFFM is the payer of last resort."

## SOURCES OF FINANCIAL ASSISTANCE (not all inclusive)

Insurance

Charitable Organizations

FEMA Hazard Mitigation Assistance Program Grants

USDA Forest Service

- Burned Area Emergency Response
- Burned Area Rehabilitation

USDA Farm Service Agency

- Livestock Indemnity Program
- Livestock Forage Disaster Program
- Emergency Conservation Program

USDA NRCS

- Environmental Quality Incentives Program
- Emergency Watershed Protection Program

Under a federal disaster declaration, the following could be sources of financial assistance:

- Individual Assistance
- Small Business Administration

## CERTIFICATION

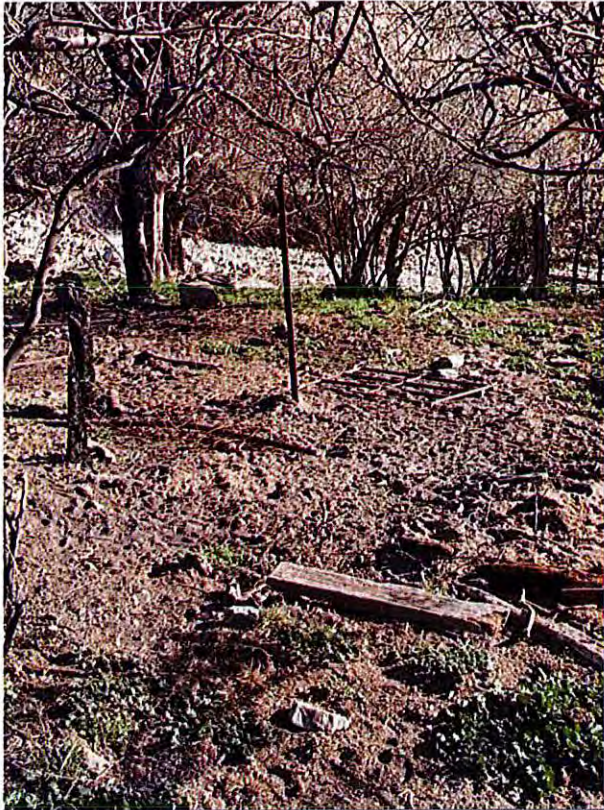
Please confirm the following:

- I certify under penalty of perjury that the foregoing statement(s) are true and correct.
- I agree to provide, upon written request, any additional information, or documentation to the Department of Forestry Fire Management, or authorized entity representing DFFM, and/or the Arizona Association of Conservation Districts.

Applicant Name (Print): Government Spring Ranch, LLC

Applicant Signature: [Signature] Date: 2/1/2022

# Government Springs Ranch– John Hoopes Lyons Fork Allt



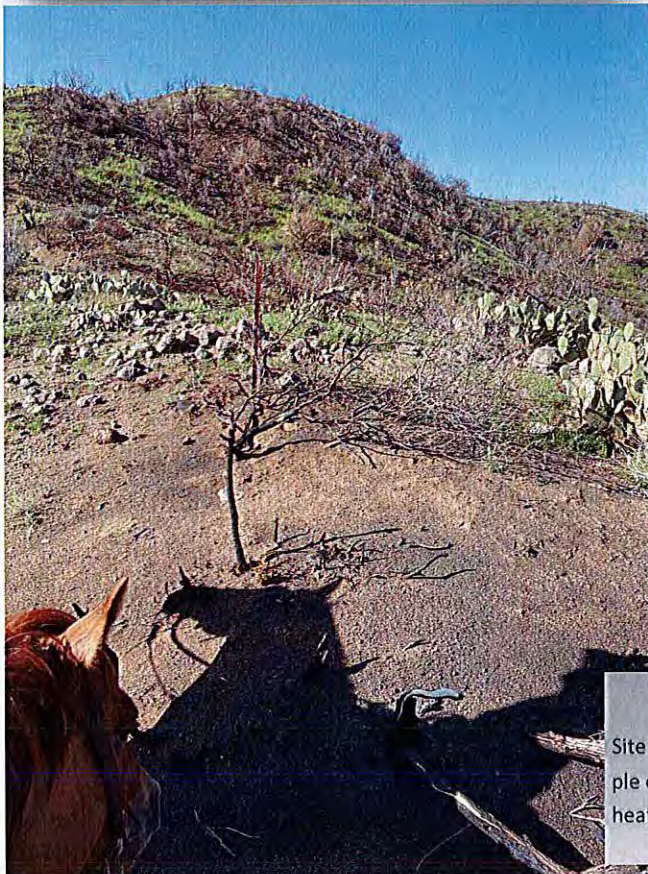
**Photo (left top)**

Example of burnt fence identified on map. Wood post burned, wire on the ground has become a wildlife/livestock hazard.



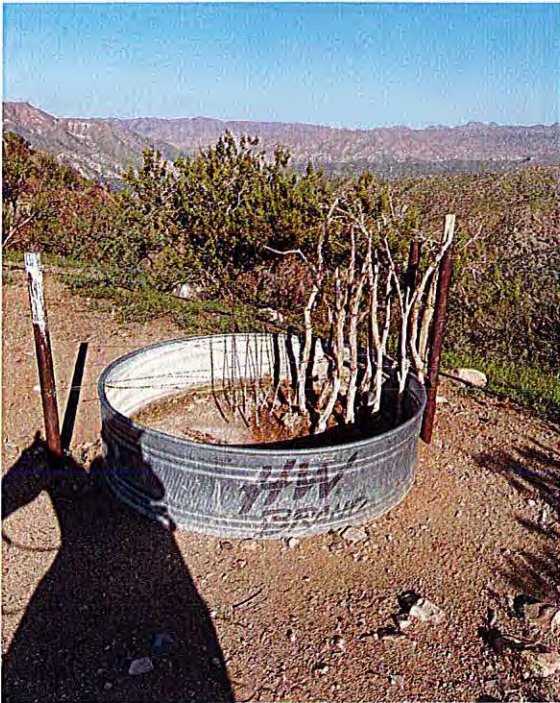
**Mays Gap Well (above)**

Site identified on the map by Mays Gap Well and Fence. Burned wood and metal posts remain leaving burned wire on the ground.



**Corner with Slash S (left)**

Site identified on the map. Area in close proximity to Primary Fence. Example of fence affected by fire. Metal stays remain but wire compromised by heat from the fire.



Photos Provided by Applicant after the fire. Examples of scope of fire and heat destruction on range. Large scale fence replacement required.

Photo (top left) trough undamaged but fence on both sides destroyed by fire. This applicant has no trough or storage requests through DFFM funding. Pipe line restoration supplies donated by the Mule Deer Foundation and labor completed by the rancher.

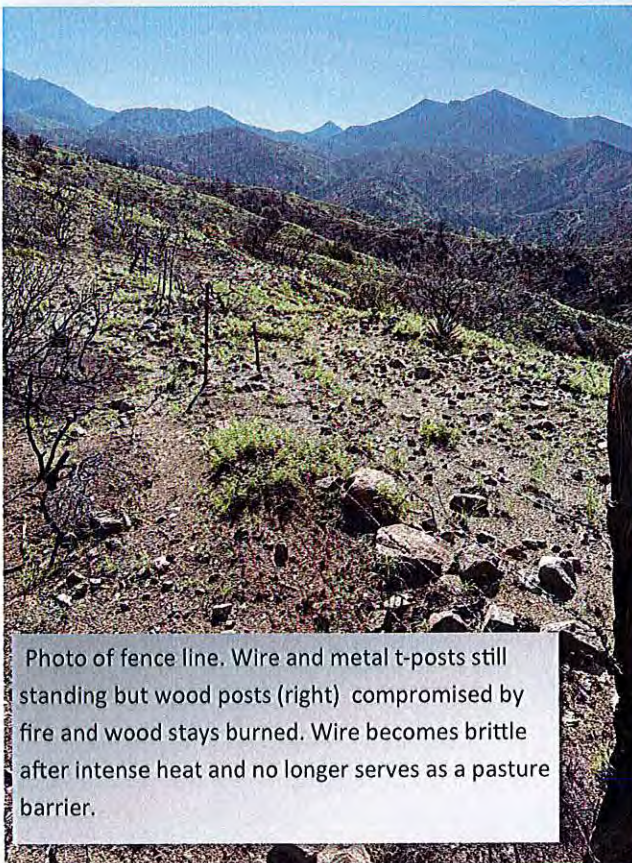
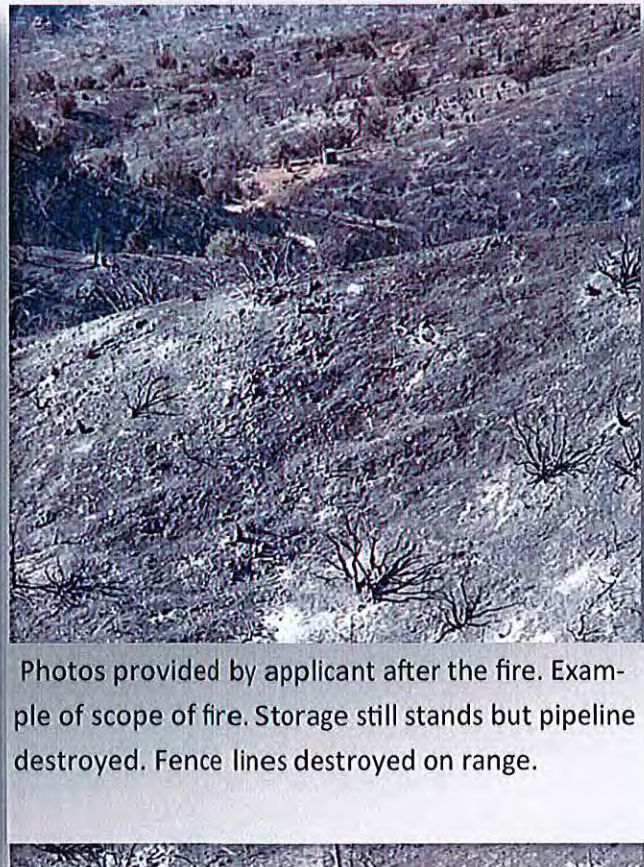


Photo of fence line. Wire and metal t-posts still standing but wood posts (right) compromised by fire and wood stays burned. Wire becomes brittle after intense heat and no longer serves as a pasture barrier.



Photos provided by applicant after the fire. Example of scope of fire. Storage still stands but pipeline destroyed. Fence lines destroyed on range.

Profile: deborrah.smith@aacd1944.com

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## Applicant Information

Tell us about you.

**Linked Applicant**

deborrah.smith@aacd1944.com

**First name**

Deborrah

**Last name**

Smith

**Email**

deborrah.smith@aacd1944.com

**Title**

Executive Director

**Company**

Arizona Association of Conservation Districts

**Company Website**

aacd1944.com

**City**

Tucson

**State**

Arizona

## Organization Information

Tell us about your organization.

**Organization Name**

Arizona Association of Conservation Districts

**Employer Identification Number (EIN)**

86-0695025

**DUNS**

196434810

**Authorized Representative**

Frank Krentz, President

**Business/Finance Representative**

Deborrah Smith, Executive Director

## Organization Address

**Address**

7467 E Broadway Blvd

**Address 2**

**City**

Tucson

**State**

Arizona

**County**

Pima County

**Congressional District/Region**

ALL - Districts cover the entire state

**Zip**

85710

**Phone**

830-719-5372

**Phone Extension****Fax**

Authorized Representative (if different from above)

**Name****Title****Email****Phone**

Business/Finance Contact (if required)

**Name**

Deborah Smith

**Title**

Executive Director

**Email**

smith.aacd@gmail.com

**Phone**

830-719-5372

## **ATTACHMENT B**

### **General Provisions**

#### ADMINISTRATIVE AND ACCOUNTING REQUIREMENTS

It is the Sub-grantee's responsibility to develop, document, administer and manage the appropriate accounting and administrative procedures for managing the grant in accordance with all applicable State laws.

#### HATCH ACT

The Sub-grantee shall comply with provisions of the Hatch Act limiting the political activities of public employees.

#### NEPA

The Sub-grantee shall comply with applicable State and Federal laws regarding the environment (NEPA; National Environmental Protection Act).

#### COVENANT AGAINST CONTINGENT FEES

The Sub-grantee warrants that it has not employed or retained any company or person, other than a bona fide employee working for the Sub-grantee, to solicit or secure this agreement, and that it has not paid or agreed to pay any company or person, other than a bona fide employee, any fee, commission, percentage, brokerage fee, gift, or any other consideration, contingent upon or resulting from the award or making of this agreement. For breach or violation of this warranty, the State shall have the right to annul this agreement without liability, or, in its discretion to deduct from the agreement price or consideration, or otherwise recover, the full amount of such fee, commission, percentage, brokerage fee, gift, or contingent fee.

#### MODIFICATIONS

Modifications within the scope of this award shall only be made by mutual consent of both parties, by issuance of a written amendment signed and dated by all properly authorized signatory officials prior to any changes being performed. Requests for modification shall be made, in writing, at least thirty (30) days prior to the implementation of the requested change. Any change to the original grant application scope of work or approved detailed project plan must have prior written State approval. Incurring costs without prior written approval may result in loss of funds reimbursed.

#### EXTENSIONS

Timely completion of this project is required. If this agreement is extended by mutual written consent of the parties, all terms, conditions and provisions of the original agreement shall remain in full force and effect and apply during any extension period. Any extension of time granted shall not constitute or operate as a waiver by the State of any of its rights herein. Extensions will only be considered and/or made if the Sub-grantee has demonstrated reasonable efforts to complete the grant project as defined in the original detailed project plan and has a clear and specific plan for completion of the project within the extended time period.

#### RESPONSIBILITY FOR CLAIMS AND LIABILITIES

The Sub-grantee agrees to assume all risk of loss to indemnify and hold the State, its officers, agents and employees, harmless from and against any and all liabilities, demands, claims, suites, losses, damages causes or action, fines or judgments, including costs, attorney's and witnesses' fees and expenses incident thereto, for injuries or death to persons and for loss of, damage to, theft of or destruction of any property including loss of use thereof arising out of or in connection with the performance of duties required by agreement, all whether or not authorized or agreed to by the State or Sub-grantee.

### RETENTION OF RECORDS

The Sub-grantee and any subcontractor shall maintain and store all documents, papers, accounting records; other evidence pertaining to costs incurred for this work, and shall make all such materials available at any reasonable time during the term of work and for five (5) years from the date of final payment to the Sub-grantee. The Sub-grantee may be required to provide such records as necessary to any auditing agent. Inability to provide such records may result in unallowable costs to the grant and any funds disbursed to the Sub-grantee may have to be paid back to the State.

### EQUAL OPPORTUNITY/NON-DISCRIMINATION

The Parties agree to comply with Chapter 9, Title 41, Arizona Revised Statutes (Civil Rights), Arizona Executive Order 2009-09 and any other federal or State laws relating to equal opportunity and non-discrimination, including the Americans with Disabilities Act.

The Sub-grantee shall comply with Arizona Executive Order 75-5 and as amended by Arizona Executive Order 2009-09 relating to non-discrimination in employment by government contractors and subcontractors. These regulations are herein incorporated by reference and made a part of this agreement.

### ARBITRATION

To the extent required by A.R.S. §12-1518 and 12-133, the parties agree to use arbitration, after exhausting applicable administrative review, to resolve disputes arising out of this agreement.

### ANTITRUST VIOLATIONS

The Sub-grantee and the State recognize that in actual economic practice overcharges resulting from antitrust violations are in fact borne by the purchaser or ultimate user. Therefore, Sub-grantee acting as a vendor, hereby assigns to State any and all claims for such overcharges.

### TERMINATION BY MUTUAL AGREEMENT

This award may be terminated, in whole or part, as follows:

- When the State and Sub-grantee agree upon the termination conditions, including the effective date and, in the case of partial termination, the portion to be terminated.
- By thirty (30) days written notification by the Sub-grantee to the State setting forth the reasons of termination, effective date, and in the case of partial termination, the portion to be terminated.
- If, in the case of a partial termination, the State determines that the remaining portion of the award will not accomplish the purpose for which the award was made, the State may terminate the award in its entirety.

Upon termination of an award, the Sub-grantee shall not incur any new obligations for the terminated portion of the award after the effective date, and shall cancel as many outstanding obligations as possible. The State shall allow full credit to the Sub-grantee for the United States Federal share of the non-cancelable obligations properly incurred by the Sub-grantee up to the effective date of termination. Excess funds shall be refunded within sixty (60) days after the effective date of termination.

### CANCELLATION FOR CONFLICT OF INTEREST

Pursuant to A.R.S. §38-511, the state, its political subdivisions or any department or agency of either may, within three years after its execution, cancel any contract, without penalty or further obligation, made by the state, its political subdivisions, or any of the departments or agencies of either if any person significantly involved in initiating, negotiating, securing, drafting or creating the contract on behalf of the state, its political subdivisions or any of the departments or agencies of either is, at any time while the contract or any extension of the contract is in effect, an employee or agent of any other party to the contract in any capacity or a consultant to any other party of the contract with respect to the subject matter of the contract.

#### TERMINATION FOR CONVENIENCE

The Office of the State Forester, by written notice, may terminate this contract, in whole or in part, when it is deemed in the best interest of the State. If this agreement is so terminated, Sub-grantee will be compensated for work performed up to the time of the termination notification. In no event shall payment for such costs exceed the current grant amount.

#### NON-AVAILABILITY OF FUNDS

This agreement shall be subject to available funding, and nothing in this agreement shall bind the State to expenditures in excess of funds appropriated and allotted for the purposes outlined this agreement.

#### FEDERAL IMMIGRATION AND NATIONALITY ACT

By entering into the Agreement, the Sub-grantee warrants compliance with the Federal Immigration and Nationality Act (FINA) and all other Federal immigration laws and regulations related to the immigration status of its employees. The Sub-grantee shall obtain statements from its contractors certifying compliance and shall furnish the statements to the Procurement Officer upon request. These warranties shall remain in effect through the term of the Contract. The Contractor and its subcontractors shall also maintain Employment Eligibility Verification forms (I-9) as required by the U.S. Department of Labor's Immigration and Control Act, for all employees performing work under the Grant. I-9 forms are available for download at USCIS.GOV.

The State may request verification of compliance for any Sub-grantee, contractor or subcontractor performing work under the Grant. Should the State suspect or find that the Sub-grantee or any of its contractors are not in compliance, the State may pursue any and all remedies allowed by law, including, but not limited to: suspension of work, termination of the Agreement for default, and suspension and/or debarment of the Sub-grantee or Contractor. All costs necessary to verify compliance are the responsibility of the Sub-grantee. The parties agree to comply with A.R.S. §41-4401, the provisions of which are hereby incorporated.

#### SUSPENSION OR DEBARMENT

The Sub-grantee shall not enter into any contract or agreement with any party which is debarred or suspended from participating in State assistance programs.

Submittal of an offer or execution of a contract shall attest that the sub-grantee or contractor is not currently suspended or debarred. If the Sub-grantee or any of its contractors become suspended or debarred, the Sub-grantee shall immediately notify the State. The State may, by written notice to the Sub-grantee, immediately terminate this Agreement if the State determines that the Sub-grantee or their contractors have been debarred, suspended or otherwise lawfully prohibited from participating in any public procurement activity, including but not limited to, being disapproved as a subcontractor of any public procurement unit or other governmental body.

#### GOVERNING LAW

This AGREEMENT is made under, and is to be construed in accordance with, the laws of the State of Arizona.

#### INVALIDITY OF PART OF THIS AGREEMENT

The parties agree that should any part of this AGREEMENT be held to be invalid or void, the remainder of the AGREEMENT shall remain in full force and effect and shall be binding upon the parties.

COUNTERPARTS

This AGREEMENT may be executed in any number of duplicate originals, photocopies or facsimiles, all of which (once each party has executed at least one such duplicate original, photocopy, or facsimile) will constitute one and the same document.

INTERPRETATION

This AGREEMENT is not to be construed or interpreted for or against either of the parties on the grounds of sole or primary authorship or draftsmanship.

PARAGRAPH HEADINGS

The paragraph headings in this AGREEMENT are for convenience of reference only and do not define, limit, enlarge, or otherwise affect the scope, construction, or interpretation of this AGREEMENT or any of its provisions.

ENTIRE AGREEMENT

This AGREEMENT contains the entire agreement and understanding of the parties hereto. There are no representations or provisions other than those contained herein, and this AGREEMENT supersedes all prior agreements between the parties, whether written or oral, pertaining to the same subject matter of this AGREEMENT.

## ATTACHMENT C

### Grant Reimbursement and Documentation Requirements

Arizona State Forestry grants are based on reimbursement for actual costs incurred. Sub-grantees are typically required to provide a portion of the total project cost as MATCH contribution to show local investment in the project or program. Match investment cannot be used as a match for any other State or federal cost-share programs. Specific match amount is identified in each grant agreement. All costs and match should conform to the approved application contained in the grant agreement – and all reimbursements are subject to Arizona State Forestry approval.

All documentation submitted for reimbursement must have the correct project name and/or State Forestry grant number, date work was completed, and proof of payment from the Sub-grantee.

All reimbursements to Sub-grantees shall be calculated from the “Grant Reimbursement Form”. By signing the form, the Sub-grantee assumes full and implied responsibility for all grant costs incurred and submitted on the form. By signature, the Sub-grantee accepts full liability that the work and costs incurred were in accordance with the agreed scope of work and/or approved detailed project plan and in accordance with all applicable Federal and State laws. By signing the “Grant Reimbursement Form”, the Sub-grantee is claiming that costs were incurred following the established procurement process for its own organization and that their process is documented, administered and managed with the correct accounting and administrative procedures and is in accordance with all applicable Federal and State laws.

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**INELIGIBLE COSTS** – Any expenses submitted for reimbursement that are not properly documented shall not qualify for reimbursement. It shall be the Sub-grantee’s sole responsibility to submit the required and accurate support documentation for all project costs. In the event an audit determines that ineligible costs were charged to the project, the Sub-grantee accepts full liability for such costs.

- Expenses not included in an approved project plan or are unnecessary for the completion of the project are ineligible for reimbursement or as match.
- NO FOOD or BEVERAGE purchases or donations to others are eligible for reimbursement or as match, unless included in the project plan as budgeted travel costs, and pre-approved by State.
- Large equipment purchases: Applicants are encouraged to rent equipment when possible and cost-effective, or to use matching funds to purchase large equipment. DFFM acknowledges that some projects may only be completed using program funds to procure equipment.
- Poorly documented match or volunteer hours with insufficient support documentation will not count towards the required match. It is the Sub-grantee’s responsibility to keep all project/grant records pertaining to matching requirements. In the event an audit determines that ineligible match was credited to the project, the Sub-grantee accepts full liability for such costs

## ATTACHMENT C

### Grant Reimbursement and Documentation Requirements

**REIMBURSABLE PROJECT EXPENSES** – are direct, out-of-pocket expenditures for eligible project activities that are supported by paid invoices, cancelled checks, signed receipts, or official payroll records. Examples include:

**Labor-** may include paid staff, contracted labor, or documented reimbursement from Sub-grantee to others for services. Related expenses such as employee benefits or required travel costs are also eligible if properly documented.

- All staff/labor hours must be accompanied by an employee time sheet detailing the hours worked on the grant project. The time sheet must clearly have the State grant ID number, an employee signature, and the dates work hours were contributed towards the grant. A supervisor's approval signature should also be included. Note, for auditing purposes, an auditor will most likely want to see all hours worked in addition to those charged to the grant.
- Required documentation can include payment receipts, timesheets, payroll records, job sheets, cancelled checks, or signed letters detailing paid staff time, dates, and services or work provided.

**Supplies** - may include operating supplies, office supplies, and small equipment purchased by the Sub-grantee and necessary for the completion of the project.

- Required documentation can include payment receipts, cancelled checks, or official accounting records detailing expenses and goods and service provided.

**Equipment Purchases (small)** – small equipment necessary for the completion of the project may be purchased by the Sub-grantee organization if included in the approved project plan and budget. Purchases of equipment or supplies for individuals is not eligible. Purchase of necessary equipment with per unit costs totaling less than \$5,000 will be considered as supplies (above).

- Required documentation will include purchase receipts detailing costs and equipment details.

**Equipment Purchases (large)** - Any single piece of capital equipment costing more than \$5,000 must be included in the original project plan and preapproved. Additional details will be provided for approved purchases. If an audit determines that excessive equipment was purchased, the Sub-grantee accepts full liability for cost reimbursement back to the State. Please limit your liability by purchasing only items listed in the original grant application and detailed project plan. Please only purchase what is necessary to complete the specific grant/project approved.

- Required documentation will include purchase receipts detailing costs and equipment details.

**Equipment Rental** – Rental of equipment necessary for completion of the project may be reimbursed if included in the approved project plan and budget.

- Required documentation will include rental receipts detailing costs, dates of use, and equipment details.

**Contracted Services** – Contracting for services from outside organizations or businesses is permitted if included in the approved project plan and budget. Such services could include contracted fuels crews, arborists, trucking, waste disposal, and other costs.

- Required documentation will include receipts detailing costs, dates and details of services provided.

**Equipment Operating Costs** - Operating costs for owned, rented, or donated equipment may be permitted if included in the project plan and properly documented. Methods for cost determination must be specifically documented and approved. Use of Sub-grantee owned equipment may be charged to the grant if prior approval is granted. A Sub-grantee may submit a rate agreement that is typical of rate charges established for all agencies utilizing the equipment including their own. Under no circumstances shall the grant be charged for use of equipment purchased with State funds, beyond operating costs.

- Required documentation can include receipts detailing costs, dates and details of equipment usage, payment receipts, mileage logs, shift tickets, etc. Any operating costs that are not paid for directly and do not have corresponding payment receipts, must be specifically documented as to method of cost determination.

## ATTACHMENT C

### Grant Reimbursement and Documentation Requirements

**ELIGIBLE MATCH** – Grants may require some level of MATCH investment from the Sub-grantee organization. Matching investment may only be included if goods or services are provided during the term of the agreement and are necessary for the completion of the project. The matching investment may be in the form of cash expended and/or in-kind contributions used toward the project. The Sub-grantee share (match) cannot be used as a match for any other cost-share program. As with reimbursable costs, eligible match expenses only include those that are reasonable and necessary for the completion of the grant-funded program or project and must meet the approved Cost Principles.

Matching investments will not be directly reimbursed.

Examples of possible match include:

**Cash** - Matching investment can include actual costs incurred as documented above.

- Required documentation will include payment receipts, cancelled checks, or official accounting records detailing expenses and related goods and service provided.

**In-kind Contributions** - include the use of on-hand supplies, use of third party donations of supplies or equipment, the value of professional services provided at the professional rate, or time spent by employees on eligible project activities.

- Use of in-kind contribution of goods or services from another business or organization may be counted as community match with proper documentation. This typically consists of a letter on the donating organization's letterhead, signed by the proper person and showing the amount and type of donation. Property or use thereof shall be assigned a fair market value per applicable Cost Principles and should include a letter of documentation from the donating party.

**Volunteer** - Volunteer labor hours shall conform to documented standard operating procedures for the Sub-grantee organization with established pay rates.

- Required documentation for volunteers will include signed time logs/sign-in sheets with volunteer name, date, time, place, and type of volunteer service provided. Volunteer time may be valued at the local market rate for equivalent work (children at minimum wage). Hourly rates exceeding \$20 per hour will require specific support documentation for justification and approval. If you use consultants, forestry professionals, planners, etc., who donate their professional services, appropriate hourly rates may be documented in a letter from the individual or their organization.



# Quarterly Performance Report

## GRANT INFORMATION:

Grant Number:		Grant Award \$:	
Project Name:		Award End Date:	
Organization:			
County:		DFFM District:	

## REPORT INFORMATION:

Calendar Year:		Calendar Quarter Q1 (Jan-Mar), Q2, Q3, Q4:	
Name of person completing report:			
Submittal Date:			

**PROJECT OBJECTIVES ACCOMPLISHED:** (During this quarterly reporting period, what progress has been made toward meeting the project objectives stated in the Project Plan? Provide quarterly and cumulative numbers for key criteria, such as acres completed, trees planted, educational programs delivered, etc.)

PLANNED OVERALL		ACTUAL		
Project Objectives	Total Project Goal	Previously Reported	+ Current Quarter	= Cumulative Total

**Program-Specific Reportables (if applicable)**

1				
2				
3				
4				
5				

***Is this Project On Track?*** (Yes / No)

Use the following sections to describe activities for this quarter and for the overall project status.  
*Additional items may be enclosed or attached, such as added narrative, detailed tables, pictures, maps, or other items.  
 (Please list any additional items in the narrative to assure they are recorded.)*



# Quarterly Performance Report

**NARRATIVE REPORT / THIS QUARTER:** What progress has been made THIS QUARTER in accomplishing the project objectives? Describe activities for the quarter to support the status reported in the tables above. Include comments regarding accomplishments for employees, contractors, and volunteers; and describe the status of planning or purchasing activity if applicable. *(MAX: 1400 Characters – attach additional materials if needed)*

**NARRATIVE REPORT / OVERALL PROJECT:** What is the success in meeting the OVERALL measurement criteria identified in the Project Plan? Describe the overall project status to support the numbers listed in the tables above. What major milestones have been achieved and what are the next major activities planned? If the project is not on track or goals are not being met, please provide an explanation. If there are any factors that have, or will have, a significant impact on the successful project completion, provide details and explain the actions being taken or assistance that may be needed. *(MAX: 1400 Characters – attach additional materials if needed)*



# Arizona Department of Forestry and Fire Management

## Grant Reimbursement Form

NOTE: It is the Sub-grantees' responsibility to develop, document, administer and manage the correct accounting and administrative procedures for administering the grant in accordance with all applicable Federal and State laws. It is the Sub-grantees' sole responsibility to maintain all grant records and provide them as necessary to any auditing agent. Inability to provide such records may result in unallowable costs to the grant and any funds disbursed to the Sub-grantee may have to be paid back to the State and/or Federal government.

Grant Number:

Organization Name:  Match %:

Total Grant Amount:  Total Match Required:

Grant Expiration/End Date:  (Grant \$ + Match \$ = Total Project Cost)

Previous Project Totals (Sum of all previous reimbursement requests):			
	Reimbursable Costs	Match	Total
	<input type="text"/>	<input type="text"/>	\$0.00

This Reimbursement Period: (Period Start / End dates) <input type="text"/>			
Item	Reimbursable Costs	Match	Total
Administration	<input type="text"/>	<input type="text"/>	\$0.00
Personnel	<input type="text"/>	<input type="text"/>	\$0.00
Contracted Services	<input type="text"/>	<input type="text"/>	\$0.00
Supplies	<input type="text"/>	<input type="text"/>	\$0.00
<input type="text"/>	<input type="text"/>	<input type="text"/>	\$0.00
<input type="text"/>	<input type="text"/>	<input type="text"/>	\$0.00
<input type="text"/>	<input type="text"/>	<input type="text"/>	\$0.00
Volunteer time	N/A	<input type="text"/>	\$0.00
In-Kind Contributions	N/A	<input type="text"/>	\$0.00
<b>Total:</b>	\$0.00	\$0.00	\$0.00

FOR DFFM USE ONLY

Cumulative Project Totals (This period request added to all previous reimbursement requests):			
	Reimbursable Costs	Match	Total
	\$0.00	\$0.00	\$0.00

\*As long as the Cumulative MATCH meets the required amount, this Reimbursement Period's REIMBURSABLE amount should qualify for payment (provided all items are properly documented and all other requirements are met.)

Authorized Signature Title Date

**SIGNATURE LINE STATEMENT** (Required for Processing)

By signing the "Grant Reimbursement Form", the signing agent is verifying that:  
 All work performed on this grant/project was completed in conformance with all applicable laws and established procedures. Charges and time sheets submitted are in fact for work completed on this project. All charges have been reviewed and verified by a supervisor and all employee and volunteer hours are being tracked, with support documentation on file and available to any auditing agent.

NOTE: Reimbursements may take 60-90 days